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Date of Deposit: May 11, 2001

Attorney Docket No. 07473-033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sherman  
Serial No.: 09/781,132  
Filing Date: February 9, 2001  
For: SYSTEM AND METHOD FOR SIMULTANEOUS  
MULTIPLE DEATH LIFE INSURANCE  
Examiner: Unassigned  
Art Unit: 2166

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items.

1. Copy of Notice to File Missing Parts of Nonprovisional Application;
2. Combined Declaration and Power of Attorney for Patent Application;
3. Substitute Drawings in Compliance with 37 CFR 1.84; and
4. Return postcard.

A check in the amount of \$130.00 is enclosed to cover the fee for filing the Declaration. If the total amount of the checks is insufficient, the Commissioner is hereby authorized to charge the balance due to the account of the undersigned, Deposit Account No. 50-0311 and reference Docket No. 07473-033. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010  
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Date: May 11, 2001  
X5/16/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,132	02/09/2001	Lawrence M. Sherman	07473-033

CONFIRMATION NO. 1690

## FORMALITIES LETTER



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One Financial Center  
Boston, MA 02111

Date Mailed: 03/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - o drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*



*S. Seha*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE